Complaint Redressal

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- 1. Clients can seek clarification to their query and are further entitled to make a complaint in writing, orally or telephonically. An email may be sent to the Client Servicing Team on <u>info@thefinancialist.co.in</u>. Alternatively, the Investor may call on +91 9819269225.
- 2. A letter may also be written with their query/complaint and posted at the below mentioned address:

Finfam Investment Advisors Private Limited 1201, Cts, 137/B, Silver Leaf Wing A, Akurli Road, Opp. Gaushala, Kandivali East, 400101

- 3. Clients can write to the Investment Adviser at watsa@thefinancialist.co.in if the Investor does not receive a response within 10 business days of writing to the Client Servicing Team. The client can expect a reply within 10 business days of approaching the Investment Advisor.
- 4. In case you are not satisfied with our response you can lodge your grievance with SEBI at http://scores.gov.in or you may also write to any of the offices of SEBI. SCORES may be accessed thorough SCORES mobile application as well, same can be downloaded from below link: https://play.google.com/store/apps/details?id=com.ionicframework.sebi236330
- 5. ODR Portal could be accessed, if unsatisfied with the response. Your attention is drawn to the SEBI circular no. SEBI/HO/OIAE/OIAE_IAD-1/P/CIR/2023/131 dated July 31, 2023, on "Online Resolution of Disputes in the Indian Securities Market". A common Online Dispute Resolution Portal ("ODR Portal") which harnesses conciliation and online arbitration for resolution of disputes arising in the Indian Securities Market has been established. ODR Portal can be accessed via the following link https://smartodr.in/