

## Regulatory Disclosure

Finfam Investment Advisors Private Limited is a SEBI Registered Investment Advisor (INA000018036). SEBI requires RIAs to disclose complaint status on their webpage. Pursuant to the same, please refer to the data as furnished below.

Data for Month ending April, 2023							
Sr. No.	Received from	Pending at the end of last month	Received	Resolved *	Total Pending #	Pending complaints > 3 months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	NA
2	SEBI (SCORES)	0	0	0	0	0	NA
3	Other Sources	0	0	0	0	0	NA

\* Inclusive of complaints of previous months resolved in the current month.  
# Inclusive of complaints pending as on the last day of the month  
^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints					
Sr. No.	MONTH	Carried Forward of Last Month	Received	Resolved *	Total Pending #
1	April, 2024	0	0	0	0
2	March, 2024	0	0	0	0
3	February, 2024	0	0	0	0
4	January, 2024	0	0	0	0
5	December, 2023	0	0	0	0
6	November, 2023	0	0	0	0
7	October, 2023	0	0	0	0
8	September, 2023	0	0	0	0
9	August, 2023	0	0	0	0
10	July, 2023	0	0	0	0
11	June, 2023	0	0	0	0

\*Inclusive of complaints of previous months resolved in the current month.  
#Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints					
SR NO.	MONTH	Carried Forward of Last Year	Received	Resolved *	Total Pending #
1	FY 23-24	0	0	0	0

\*Inclusive of complaints of previous years resolved in the current year.  
#Inclusive of complaints pending as on the last day of the year.